

The Guidelines for using Generative Artificial Intelligence (GenAI) at ENZ

Owner: Group General Manager Strategy, Capability & Performance

Approved by: Chief Executive

Next review date: November 2025, or earlier as required

Distribution: Will be available on IPU

Purpose

The purpose of these guidelines is to:

- Support and empower Education New Zealand Manapou ki te Ao (ENZ) staff to innovate, safely
 adopt and responsibly use, and derive benefits (such as improved efficiencies and quality of
 advice) from using Generative Artificial Intelligence (GenAI) services to assist in delivering ENZ's
 business goals.
- Support ENZ staff to act in responsible ways that align with ENZ's existing policies. (i.e., ICT
 Acceptable Use, Information and Records Management, Privacy, Risk Management, and Code of
 Conduct policies).
- Assist in protecting ENZ's information by setting clear expectations for the use of GenAl services.

Scope

- These guidelines apply to all ENZ employees, contractors and Board members who create and process information for ENZ.
- These guidelines apply to the use of GenAl services provided by external parties, such as ChatGPT, by ENZ staff for ENZ purposes. Note, this excludes use of DeepSeek.
- Generative AI (GenAI) refers to a service that generates text, or images, or other content, that
 closely resembles human-created content. ChatGPT is one example of the many GenAI services
 available. However, many other GenAI services are available or are under development.

Context

With the recent increase in availability and usefulness of GenAl services, ENZ has opportunities to save staff time, augment staff capabilities, improve the quality of ENZ advice, and to more effectively deliver ENZ business goals. There are, however, risks associated with GenAl which need to be managed, including:

- Continuing to safeguard the confidentiality, integrity and availability of ENZ's information
- Maintaining the privacy of personal information that ENZ holds.

Our approach is guided by Ngā Manapou (our values). When we think about our information responsibilities, that is about Kaitiakitanga – we are guardians of people's information and Manaakitanga – we treat others (and their information) with care and respect as we consider this to be taonga.



In July 2024, as part of setting a strategic approach for Artificial Intelligence (AI) in New Zealand, Cabinet set expectations for government agencies using AI technologies. Cabinet has:

- Agreed that government agencies should be encouraged to adopt Al for its benefits, while managing the risks
- Noted the role of the Government Chief Digital Officer (GCDO) in leading work to accelerate responsible use of AI across public services, to deliver better outcomes for all New Zealanders.

Accordingly, we encourage staff to appropriately use GenAl emerging technologies in keeping with the guidelines outlined in this document.

The GCDO is the public service lead for GenAl. The Office of the GCDO provides GenAl guidance and has issued Interim Generative Al guidance for the public service. ENZ aligns with the GCDO's guidance as the organisation starts to trial and use GenAl safely, ethically, and in privacy-protecting ways.

Guidelines for ENZ use of GenAl services

The following expectations are aligned with ENZ's <u>ICT Acceptable Use</u>, <u>Information and Records Management</u>, <u>Privacy</u> and <u>Code of Conduct</u> policies.

Please note: The use of DeepSeek is strictly prohibited due the security concerns for ENZ.

- 1. **Sign-up:** An ENZ staff email address must be used to register and sign up to the service.
- 2. **Use of ENZ devices:** An ENZ-managed device must be used to access the service.
- 3. Do not input personal or privileged data (including client data) into GenAI: ENZ is held to a high standard in respect of trust and confidence. Do not input any personal information or data that ENZ has received in confidence from other agencies, any commercially sensitive information, any information classed as 'SENSITIVE' or above, or any information that would be withheld under the Official Information Act (OIA). The risks for the integrity of the public service, and potential impacts if redacted information were to be accessed and/or inappropriately used, could be extremely damaging for public trust and confidence.

Note: You are personally responsible for any information you input into GenAl.

- 4. **Protect Māori information sovereignty:** Carefully consider the risks in inputting any Māori data (including people, language, culture, resources, or environments), and work with the Rautaki Māori team to ensure the risks are appropriately managed before you input it.
- 5. **Information breach:** Any information breach (or concern that such has occurred) must be reported immediately to the Chief Privacy Officer(s) in accordance with ENZ's <u>ICT Acceptable Use</u>, <u>Information and Records Management</u>, <u>Privacy</u> and <u>Code of Conduct policies</u>.
- 6. **Decision making:** A GenAl service must not be empowered to make automated business decisions without human scrutiny or validation, verification and acceptance of accountability for the GenAl service's recommendation.



- 7. **Use good judgement and validate outputs**: You must judge whether the use of a GenAl system is appropriate, and appropriately scrutinise, validate, and verify any output from a GenAl service to be used by the ENZ.
- 8. **Disclosure:** If a GenAl service has been used to produce a document, then the contribution from the GenAl service must be disclosed within the document as an integral part of that document's provenance. Identify text that was Al generated (in a footnote in formal documents.)
- 9. **Compliance with security policies:** When using GenAl services, you must use the same security practices used for all ENZ information. This includes using strong passwords, keeping software up-to-date, and following ENZ's data retention and disposal policies.
- 10. **Ethical considerations:** In addition to the statements above, the use of GenAl services must align with the Ethical Use of <u>Algorithm Charter</u> and be transparent. Ethics must be considered.

11. ENZ staff must understand the limitations of GenAl:

- GenAl is rapidly evolving and is only as good as the information that it is trained on, which could be biased. GenAl can get things wrong.
- GenAl can store all the information submitted to it, including the identity of the requestor.
 Once information is submitted to the GenAl service, ENZ may have no control of the information or how it is used.
- 12. **Do not use GenAl for legal advice or guidance:** GenAl services should not be used to provide legal advice. However, GenAl services may be used to help summarise legislation, regulations, notes, or other items.

Review

ENZ is committed to regularly reviewing, refreshing, and re-publishing these guidelines to reflect updated guidance from the GCDO, updated relevant ENZ policies, developments in technology, and risks.

Other documents associated with this guideline include:

- ICT Acceptable Use Policy
- Information and Records Management Policy
- Privacy Policy
- Risk Management Policy
- Code of Conduct